



Complete and return to APIR by e-mailing to lei@apir.com.au
 For enquiries, please call 02 6284 6800

Information with **asterisk (*) is not mandatory** – if available strongly requested to be submitted

APIR use only	
Date	Ref No.

REQUEST TYPE

SINGLE REQUEST

BULK REQUEST (To email APIR the completed spreadsheet)

- Complete all Sections

- APIR strictly only accepts a bulk request when there are more than 5 LEIs to register
- Email lei@apir.com.au for current bulk request template
- Complete Sections 3 - 5 only

SECTION 1: APPLICANT INFORMATION

1. Entity Name and Legal Form

1.1 Official Entity Name

1.2 Alternate Entity Name *

1.3 Entity Category Fund Branch Sole Proprietor N/A

1.4 Legal Form Trust Superannuation Fund Partnership Company Limited by Shares

Other, please specify

2. Headquarters Address

2.1 Address Number * 2.2 Unit Number *

2.3 Line 1

2.4 Line 2

2.5 Town / City 2.6 Region

2.7 Post Code 2.8 Country

3. Legal Address

AS ABOVE

3.1 Address Number * 3.2 Unit Number *

3.3 Line 1

3.4 Line 2

3.5 Town / City 3.6 Region

3.7 Post Code 3.8 Country

4. Registration Authority

4.1 Registration Authority Australian Business Register Register of Companies (ASIC)

Register of Financial Services Licensees (ASIC) Register of Indigenous Corporations (ORIC)

4.2 Registration Authority Entity ID (ABN/ ACN/ ARSN/ ICN/ RSE Licence/ AFS Licence)

5. Other

5.1 Website *



SECTION 2: PARENT COMPANY INFORMATION

1. Direct Parent – *If there is no direct parent entity or this cannot be disclosed, please skip this section and select the relevant Exception Reason on Page 3*

Description of Relationship Type (DIRECTLY CONSOLIDATED BY): The Direct Parent entity is the closest fully consolidating parent to the LEI entity in any applicable hierarchical ownership structure

1.1 Parent Name **OR** LEI *(If provided, skip 1.2 – 1.13)*

Headquarters Address

1.2 Line 1

1.3 Town / City 1.4 Region

1.5 Post Code 1.6 Country

Legal Address – AS ABOVE

1.7 Line 1

1.8 Town / City 1.9 Region

1.10 Post Code 1.11 Country

Registration Authority & Other

1.12 Registration Authority * 1.13 Registration Authority Entity ID *

1.14 Validation Documents Accounts Filing Contracts Other Official Documents
(Please attach) Regulatory Filing Supporting Documents

1.15 Qualifier Category IFRS US_GAAP Other Accounting Standard

2. Ultimate Parent – *If there is no ultimate parent entity or this cannot be disclosed, please skip this section and select the relevant Exception Reason on Page 3*

Description of Relationship Type (ULTIMATELY CONSOLIDATED BY): The Ultimate Parent entity is the most distant fully consolidating parent from the LEI entity in any applicable hierarchical ownership structure

2.1 Parent Name **OR** LEI *(If provided, skip 2.2 – 2.13)*

Headquarters Address

2.2 Line 1

2.3 Town / City 2.4 Region

2.5 Post Code 2.6 Country

Legal Address – AS ABOVE

2.7 Line 1

2.8 Town / City 2.9 Region

2.10 Post Code 2.11 Country

Registration Authority & Other

2.12 Registration Authority * 2.13 Registration Authority Entity ID *

2.14 Validation Documents Accounts Filing Contracts Other Official Documents
(Please attach) Regulatory Filing Supporting Documents

2.15 Qualifier Category IFRS US_GAAP Other Accounting Standard



3a. Exception Reason – does not have a Direct or Ultimate Parent Company

- | | | | |
|-----|-----------------|--|---|
| 3.1 | Direct Parent | <input type="checkbox"/> Natural Persons | <i>The parent entity is a natural person</i> |
| | | <input type="checkbox"/> No Known Person | <i>There is no parent entity to control the entity e.g. joint venture, diversified shareholding</i> |
| | | <input type="checkbox"/> Non Consolidating | <i>There is no parent according to the consolidated financial statements</i> |
| 3.2 | Ultimate Parent | <input type="checkbox"/> Natural Persons | <i>The parent entity is a natural person</i> |
| | | <input type="checkbox"/> No Known Person | <i>There is no parent entity to control the entity e.g. joint venture, diversified shareholding</i> |
| | | <input type="checkbox"/> Non Consolidating | <i>There is no parent according to the consolidated financial statements</i> |

3b. Exception Reason – cannot disclose the Direct or Ultimate Parent Company

- | | | | |
|-----|-----------------|--|---|
| 3.1 | Direct Parent | <input type="checkbox"/> Legal Obstacles | <i>Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information</i> |
| | | <input type="checkbox"/> Consent Not Obtained | <i>The consent of the parent was necessary under the applicable legal framework and the parent did not consent or could not be contacted</i> |
| | | <input type="checkbox"/> Binding Legal Commitments | <i>Binding legal commitments (other than the laws or regulations of a jurisdiction), such as articles governing the legal entity or a contract, prevent providing or publishing this information</i> |
| | | <input type="checkbox"/> Detriment Not Excluded | <i>The child entity has sought to consult the parent entity about the reporting of the parent information to the GLEIS but could not confirm the absence of detriment in a way that can appropriately prevent liability risks for the child entity (or those acting on its behalf) under the applicable legal framework. The disclosure of this information would be detrimental to the legal entity or the relevant parent</i> |
| | | <input type="checkbox"/> Disclosure Detrimental | <i>The disclosure of this information would be detrimental to the legal entity or the relevant parent. This will include reasons generally accepted by public authorities in similar circumstances, based on a declaration by the entity</i> |
| 3.2 | Ultimate Parent | <input type="checkbox"/> Legal Obstacles | <i>Obstacles in the laws or regulations of a jurisdiction prevent providing or publishing this information</i> |
| | | <input type="checkbox"/> Consent Not Obtained | <i>The consent of the parent was necessary under the applicable legal framework and the parent did not consent or could not be contacted</i> |
| | | <input type="checkbox"/> Binding Legal Commitments | <i>Binding legal commitments (other than the laws or regulations of a jurisdiction), such as articles governing the legal entity or a contract, prevent providing or publishing this information</i> |
| | | <input type="checkbox"/> Detriment Not Excluded | <i>The child entity has sought to consult the parent entity about the reporting of the parent information to the GLEIS but could not confirm the absence of detriment in a way that can appropriately prevent liability risks for the child entity (or those acting on its behalf) under the applicable legal framework. The disclosure of this information would be detrimental to the legal entity or the relevant parent</i> |
| | | <input type="checkbox"/> Disclosure Detrimental | <i>The disclosure of this information would be detrimental to the legal entity or the relevant parent. This will include reasons generally accepted by public authorities in similar circumstances, based on a declaration by the entity</i> |



SECTION 3: INVOICE & PAYMENT METHOD

1. Invoice Addressee

1.1 Organisation Name

1.2 Line 1

1.3 Line 2

1.4 Town / City 1.5 Region

1.6 Post Code 1.7 Country

1.8 E-mail

2. Payment Method

By Cheque Direct Deposit Online (Visa or MasterCard only) **1.1% surcharge**

SECTION 4: REQUIRED DOCUMENTS

1. Documents

Deed/ PDS/ IM Validation Document Certificate of Incorporation
(if Legal Form is Trust or Superannuation Fund) *(proof of parent relationship)* *(if entity is not available on public register)*

SECTION 5: CERTIFICATION & AUTHORISATION

The undersigned legal representative(s) of Applicant responsibly declare the following:

- 1) All the submitted data and documents attached to this Application are genuine, full and accurate
- 2) We authorise APIR Systems Limited (**APIR**) to apply for a Legal Entity Identifier (**LEI**) on our behalf, as well as the requested entities overleaf (if applicable), including the maintenance of the related data (annual renewal), submit the corresponding declarations of intent in our name, and to take all necessary measures in this regard
- 3) The submission of this Application is considered as an irrevocable authorisation to APIR to carry out, in our name and on our behalf, all necessary and lawful actions for:
 - a. The issuance of the LEI by the Local Operating Unit (**LOU**) that APIR is cooperating with
 - b. The receiving of the LEI on our behalf by the competent LOU
- 4) We undertake to notify APIR our updated identification data immediately after any change and on an annual basis from the submission of this Application
- 5) We are responsible for paying the registration fee prior to processing of the request. We undertake to also pay renewal fee at each anniversary until the entity ceases operations
- 6) Documents submitted in any other language other than English must be translated by the competent authority in Australia
- 7) We have attached the validation document(s) that confirms the existence of the Parent relationship, if applicable

APIR reserves the right to submit the LEI registration to the competent LOU under the provision that the LOU finds the relevant data provided by the Applicant sufficient.

Sign Here

Date / /

Signatory's Name **(PRINT)**

Job Title

Organisation

Business Phone

E-mail

